

Practitioner's Docket No. 1746-6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☐ In re application of: LIPP, Eberhard
Application No.: 09 / 858,137 Group No.
Filed: May 15, 2001 Examiner:
For: VERTICAL MIXER _____

#31 Reg for
Refund
08-22-01

☐ Patent No.: _____ Issued: _____

*NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
(37 C.F.R. 1.28(a))

I. SUBMISSION OF SMALL ENTITY STATEMENT

(complete (a) or (b))

- (a) ☐ Attached is a statement claiming small entity status in this application.
(b) ☐ A statement claiming small entity status was filed in this application on _____

(C) ~~This entity is a small entity~~ (see Item 11 in original transmittal letter)

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on _____, in the amount of \$_____.

NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984. 37 C.F.R. 1.28(a).

If the fee was changed to a deposit account, a request for refund must be filed within two months of the date of the change to the deposit account.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☐ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature _____

Date: _____

(type or print name of person certifying)

US PATENT & TRADEMARK
OFFICE
2001 AUG 13 PM 3:57
OFFICE OF FINANCE
REFUND DIVISION

III. FEES PAID FOR WHICH REFUND REQUESTED

AMOUNT OF REFUND REQUESTED

☒ Filing fee \$ 355.00

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l)). \$ _____

or

☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e)) \$ _____

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ _____

or

☐ Surcharge for filing the cover sheet on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ _____

☐ Extension of term \$ _____

☐ Issue fee \$ _____

☐ Patent maintenance fee

☐ first maintenance fee \$ _____

☐ second maintenance fee \$ _____

☐ third maintenance fee \$ _____

☐ Patent maintenance fee surcharge.

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

☐ Other \$ _____

TOTAL REFUND REQUESTED \$ 355.00

Note: \$710 was charged to the credit card (copy of printout attached) even though Small Entity and credit card payment form was authorized for only \$355 (copy also attached).

IV. MANNER OF REFUND

Please make refund by crediting:

☒ Deposit Account No. 08-0879

☐ Credit card as shown on the attached credit card information authorization form PTO-2038.

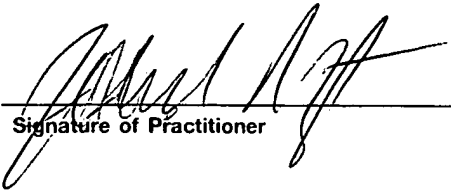
WARNING: Credit card information should *not* be included on this form as it may become public.

☐ refunding overpayment.

Reg. No.: 30,627

Tel. No.: (713)-224-8080

Customer No.:



Signature of Practitioner

John S. Egbert

(type or print name of practitioner)

412 Main, #700

P.O. Address

Houston, TX 77002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

United States Patent & Trademark Office
Credit Card Payment Form
Please Read Instructions before Completing this Form

Credit Card Information

Credit Card Type: ☐ Visa ☐ MasterCard ☒ American Express ☐ Discover

Credit Card Account #: [REDACTED] 005

Credit Card Expiration Date: 06/02

Name as it Appears on Credit Card: JOHN S EGBERT

Payment Amount: \$(US Dollars): 355 *C*

Signature: *[Signature]*

Date: 5-15-01

Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account.

Service Charge: There is a 50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.21(m)).

Credit Card Billing Address

Street Address 1: 3131 Timmons Lane #135

Street Address 2:

City: Houston

State: Texas

Zip/Postal Code: 77027

Country: USA

Daytime Phone #: (713) 623-4934

Fax #: (713) 223-4873

Request and Payment Information

Description of Request and Payment Information:

Patent Application Filing Fee (Small Entity)

☒ Patent Fee

☐ Patent Maintenance Fee

☐ Trademark Fee

☐ Other Fee

Application No.

Application No.

Serial No.

IDON Customer No.

24106

Patent No.

Patent No.

Registration No.

Attorney Docket No.

1746-C

Identify or Describe Mark

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

(b)

Credit card ending with: Start Acctg Dt: 05/18 End Acctg Dt: 07/31/2001

Credit Card Sales

| Accounting Dt | Create Dt | Name/Number | Fee Code | Amount | Atty. Dkt. # |
|---------------|-----------|-------------|----------|------------|--------------------|
| 5/18/2001 | 5/17/2001 | 09858137 | 101 | \$710.00 | 1746-6 XTP |
| 5/18/2001 | 5/18/2001 | 5701707 | 283 | \$425.00 | 1106-15 + |
| 5/21/2001 | 5/18/2001 | 09858445 | 101 | \$710.00 | 1191-45 (750) + |
| 5/21/2001 | 5/18/2001 | 60291574 | 214 | \$75.00 | 1558-4 + |
| 5/21/2001 | 5/18/2001 | 09858445 | 581 | \$40.00 | 1191-45 (750) + |
| 5/22/2001 | 5/21/2001 | 29142073 | 206 | \$160.00 | 1772-2 } 200 + |
| 5/22/2001 | 5/21/2001 | 29142073 | 581 | \$40.00 | 1772-2 } 200 + |
| 5/22/2001 | 5/21/2001 | 29142064 | 206 | \$160.00 | 1772-3 + (\$500) + |
| 5/22/2001 | 5/21/2001 | 09776187 | 201 | \$355.00 | ↓ 905,015 (420) + |
| 5/22/2001 | 5/22/2001 | 09095080 | 242 | \$620.00 | 1429-14 + |
| 5/22/2001 | 5/22/2001 | 09860234 | 201 | \$355.00 | 1794-1 + |
| 5/22/2001 | 5/22/2001 | 75636084 | 362 | \$100.00 | 1194-10 + |
| 5/22/2001 | 5/22/2001 | 09331924 | 216 | \$195.00 | 1152-5 + |
| 5/22/2001 | 5/21/2001 | 09776187 | 205 | \$65.00 | ↑ 905,015 (420) + |
| 5/22/2001 | 5/21/2001 | 29142064 | 581 | \$40.00 | 1772-3 + (\$500) + |
| 5/23/2001 | 5/22/2001 | 1960007 | 372 | \$200.00 | 1429-71L (250) + |
| 5/23/2001 | 5/23/2001 | 76259025 | 361 | \$325.00 | 1751-2 + |
| 5/23/2001 | 5/23/2001 | 09194992 | 142 | \$1,240.00 | 1418-39 + |
| 5/23/2001 | 5/23/2001 | 09860675 | 581 | \$40.00 | 1719-22 + |
| 5/23/2001 | 5/23/2001 | 09860675 | 201 | \$355.00 | 1719-22 + |
| 5/23/2001 | 5/23/2001 | 5723258 | 183 | \$850.00 | 1203-26 + |
| 5/23/2001 | 5/23/2001 | 76259041 | 361 | \$325.00 | 1751-3 + |
| 5/23/2001 | 5/23/2001 | 76259026 | 361 | \$325.00 | 1751-4 + |